

Property Safety Compliance Policy

Policy ref:	Compliance
Policy author /holder	Director of Property Services
Date approved:	March 2023
Approved by:	Executive Team & Customer Services Committee Board
Effective date:	March 2024
Review date:	March 2026

1 Purpose

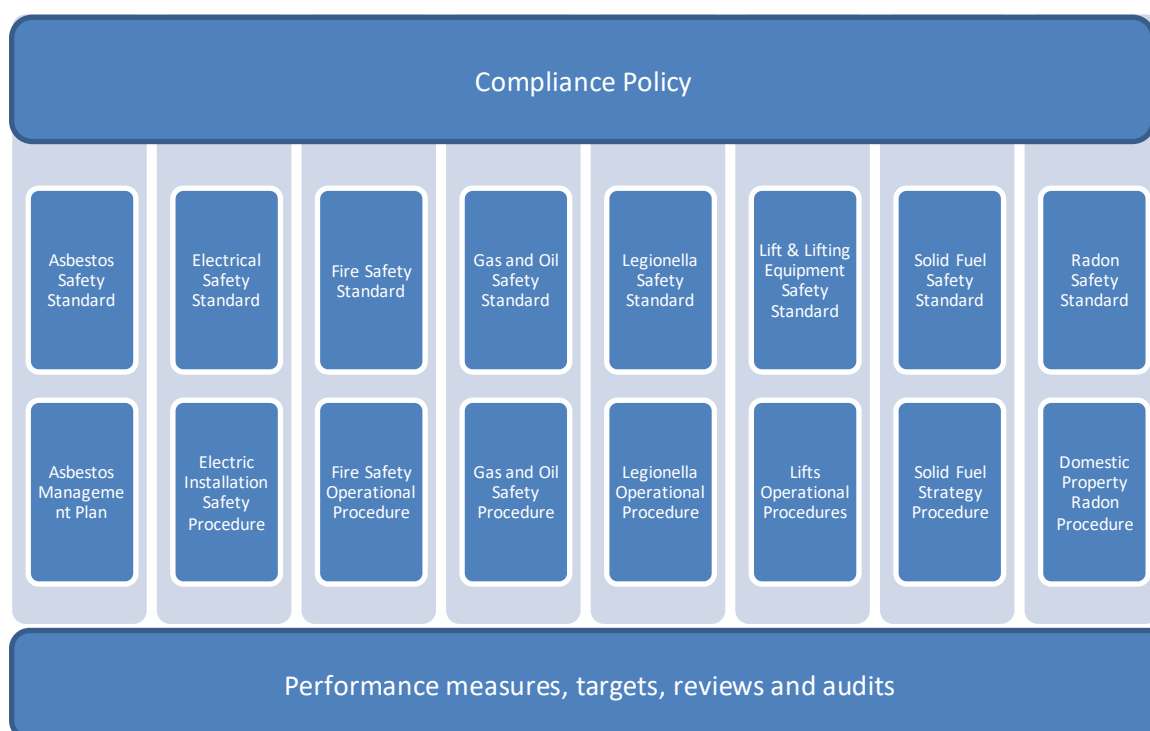
- 1.1 The purpose of this policy and associated standards is to ensure that we consistently deliver safe, effective property related compliance services for residents which meet regulatory and legal requirements.
- 1.2 This umbrella policy for all property compliance services aims to achieve the following outcomes in line with our Corporate Strategy, purpose and vision of keeping our customers and colleagues safe and ensuring safe, high-quality and affordable homes, external environments and communities:
- Safe homes, communal areas and estates for our residents and a safe working environment for our employees and contractors
 - Meeting legislation and regulation as a minimum and achieving best industry practice where we can
 - Providing assurance to external and internal customers that our approach to managing fire is fit for purpose
 - Consistency and clarity on how we deliver property related services to an agreed standard
 - Effective performance management arrangements to ensure that the Standards set are being met. Performance information against targets set is provided to residents
 - Raised awareness and ownership within both our resident and employee groups.
 - Clarity around ownership and accountability so that services are delivered effectively
 - Fit for purpose data, systems and processes in place to ensure that the workflow is efficient.

2 Scope

This policy covers all LiveWest rented and leasehold stock, and rented properties managed on behalf of others where we provide one or more of the defined Property Compliance Services detailed in Section 3 below. It also applies to an Agent providing defined compliance services on our behalf.

3 About this Policy

- 3.1 The safety of our residents, employees and contractors are at the heart of what we do at LiveWest. We are committed to ensuring that arrangements to deliver the full range of core Property Compliance Services consistently meet legal and regulatory requirements and achieve our Regulator’s Consumer Standard, as an absolute minimum. Where appropriate we will achieve what is considered to be industry best practice.
- 3.2 To help us achieve this objective we have developed a range of Safety Standards, which define what we will do on each Property Compliance Service activity. These Standards explain who is responsible for delivering the service and detail how frequently we expect to carry out specific activities in residents’ homes, in communal areas and on estates.
- 3.3 The Standards are supported by clear procedures and instructions to ensure that work streams are delivered consistently by our internal teams and by specialist contractors. Here is the agreed framework:



4 What the Standards cover

4.1 We have developed Standards around the property compliance activities that we consider to be the most significant, considering the level of risk involved and the scope or complexity of regulation and legislation associated with delivering the service.

These are detailed below in priority order:

- Fire Safety Management – servicing, inspecting and maintaining fire protection and detection equipment, undertaking fire risk assessments on communal areas and any blocks of flats with 2 or more dwellings (which have external entrances but do not have communal areas), managing the works arising from fire risk assessments, providing advice and assurance to residents and teams around fire safety.
- Gas and Oil Management – maintaining and regularly servicing gas and oil appliances and ensuring access against the prescribed timeframes.
- Electrical Safety Management – undertaken tests and inspections of communal areas and individual homes within prescribed timeframes, including ongoing maintenance and portable appliance testing.
- Asbestos Management – completing surveys and re-inspections on homes known to contain asbestos, arranging tests and removals, where appropriate and ensuring training and awareness of employees/contractors.
- Legionella Management – ensuring that our homes are safe through regular checks and flushing regimes and also undertaking checks on domestic properties on a risk-based approach.
- Lift and Lifting Equipment Management – servicing, inspecting and maintaining all kinds of lifts in communal areas and resident’s individual homes.
- Solid Fuel Management – maintaining and regularly servicing solid fuel systems appliances and ensuring access against the prescribed timeframes.
- Radon Management - maintaining a radon testing programme, installation of radon remediation systems and ongoing servicing and inspection of all radon remediation systems

4.2 The Standards do, however, address all the services where the risks are considered the highest, where regulation and legislation is most complex and where the most significant resources are deployed. All other compliance services are delivered in line with the principles enshrined in this policy. Additional Standards may from time to time be added to the framework described above.

5 The Standards’ Core Principles

5.1 There are a number of key requirements, enshrined in this policy, that are common to all Property Safety Standards:

- The person or teams responsible for delivering the specific service are clearly defined as set out the Compliance Safety Management Plan Appendix A. This ensures ownership and a clear point of contact.

- We focus on the importance of ensuring that our own in-house teams and specialist contractors, when used, have the necessary skills, knowledge, experience and accreditation to carry out the work. We want all specialist work to be done correctly and we check that employees and contractors are competent.
- We ensure employee awareness by providing training and refresher guidance to all those who “need to know”. A training plan is in place to ensure that this is delivered in a structured manner.
- The Standards are designed to provide an easily read overview of each service to a broad audience. They are published on our web site.
- Each Standard has a range of service delivery targets, used internally to check how well we are achieving the standard. These are scrutinised monthly by teams and will be published annually to residents.
- Where practicable, we will involve residents in designing, reviewing and influencing the Standards.
- We make and agree appointment times directly with residents when we need to access their homes and confirm the agreed times. We do not “cold call” on residents.
- We are acutely aware that access to homes is critical to achieve full compliance on specific services. We have firm, clear arrangements in place to ensure that we achieve access where this has been problematic. The ultimate sanction will be to seek access through legal means.
- We aim to provide value for money on the services we deliver. We constantly review whether or not services should be brought “in house” and we have a programme for retendering work at regular intervals.
- All complaints about resident safety are escalated to the relevant manager immediately and we monitor complaints about health and safety issues.
- The safety of our residents is paramount. We will regularly survey residents on how safe they feel in their homes and will act on the findings of these surveys.

6 Our Customer’s Responsibilities

- 6.1 Customers play a really important part in helping us to keep homes and communal areas safe for families, visitors and neighbours.
- 6.2 We will ask our customers to:
- Keep their appointment or let us know in advance.
 - Allow us access when we make a reasonable request
 - Make sure an adult is at home if there are young people in the property
 - Keep communal areas free from items that may block the means of escape in the event of a fire
 - Regularly check the detectors at home
 - Read information provided on customer safety

7 Defined Responsibilities

- 7.1 This Compliance Policy provides an appropriate framework that enables LiveWest to manage all aspects of compliance in line with legislative and regulatory requirements and sets out the defined roles and responsibilities.
- 7.2 **Leadership Responsibilities:** The Executive Director of Operations is accountable to Board for property compliance. Duties and responsibilities sit across all parts of the organisation and at all levels.

Landlords Authorised Person

For each area of compliance, the Executive Director of Operations will appoint a Landlords Authorised Person who will be named in the relevant management plan or standard.

Delivery Duty Holder

The Landlords' Authorised Person for each aspect of compliance may appoint one or more delivery duty holders.

Compliance Team

The compliance team support LiveWest to deliver our compliance safety duties.

8 Equality and Diversity

- 8.1 We recognise the importance of ensuring all our customers have equal access to our services and that our services are relevant, responsive and sensitive to the needs of our existing and future customers. We will treat all of our customers fairly whilst valuing diversity. We are committed to the principles of equality, diversity and inclusion throughout the organisation with the aim to meet the needs and choice of people from all backgrounds.
- 8.2 An Equality impact Assessment in relation to this policy has been completed and is available on request.

9 Monitoring and Review

- 9.1 We are fully committed to ensuring that the Property Compliance Policy and Standards are fit for purpose, refreshed regularly, and challenged against the latest changes to regulation and best practice.
- 9.2 Performance against delivery of these standards will be reported to the Board in line with the assurance framework. Performance against key standards will be reported to our customers through the annual report and available on our website.

- 9.3 The policy will be reviewed every three years through our Customer Services Committee and more frequently if there are major changes to either regulation or legislation.
- 9.4 The standards will be reviewed annually by Delivery Duty Holders. This review will include a summary of performance against the agreed standard measures.
- 9.5 The policy and standards will be made widely available to customers and stakeholders via an appropriate range of online and printed communication platforms.
- 9.6 We will review customer feedback and identify areas for service improvement on a continuous basis in order to improve performance and to prioritise our work.

10 Legal Responsibilities

10.1 This policy has been written with regards to the following:

- The Regulator of Social Housings Consumer Standards
- The Housing Act 2004
- The Health and Safety at Work Act 1974
- The Management of Health and Safety at Work Regulations 1999
- Gas Safety (Installation and Use) Regulations 1998 (GSIUR) as amended.
- The Regulatory Reform (Fire Safety) Order 2005
- The Electricity at Work Regulations 1989
- The Control of Asbestos Regulations 2012
- The Control of Substances Hazardous to Health Regulations 2002
- The Control of Legionella Bacteria in Water Systems (ACOP L8)
- The Provision and Use of Work Equipment Regulations 1998 (PUWER 98)
- The Lifting Operations & Lifting Equipment Regulations (LOLER) - L113 (Second edition) Published 2014
- The Construction Design and Management Regulations 2015
- Reporting of Injuries Diseases and Dangerous Occurrences Regulations 2013 (RIDDOR)
- The IEE Wiring Regulations BS 7671
- The Electricity Supply Regulations 1998
- The Housing Health and Safety Rating System. (HHSRS)
- Heating Equipment Testing and Approval Scheme (UK) - (HETAS)
- Disability Discriminations Act 2005
- The Equality Act 2010
- Part M of the Building Regulations
- The Fire Safety Act 2021

11 Linked/associated policies and other references

- Health and Safety Policy
- Corporate Strategy 2021-2024, Vision and Purpose
- Environmental Strategy
- Customer Service Strategy
- Asset Management Strategy

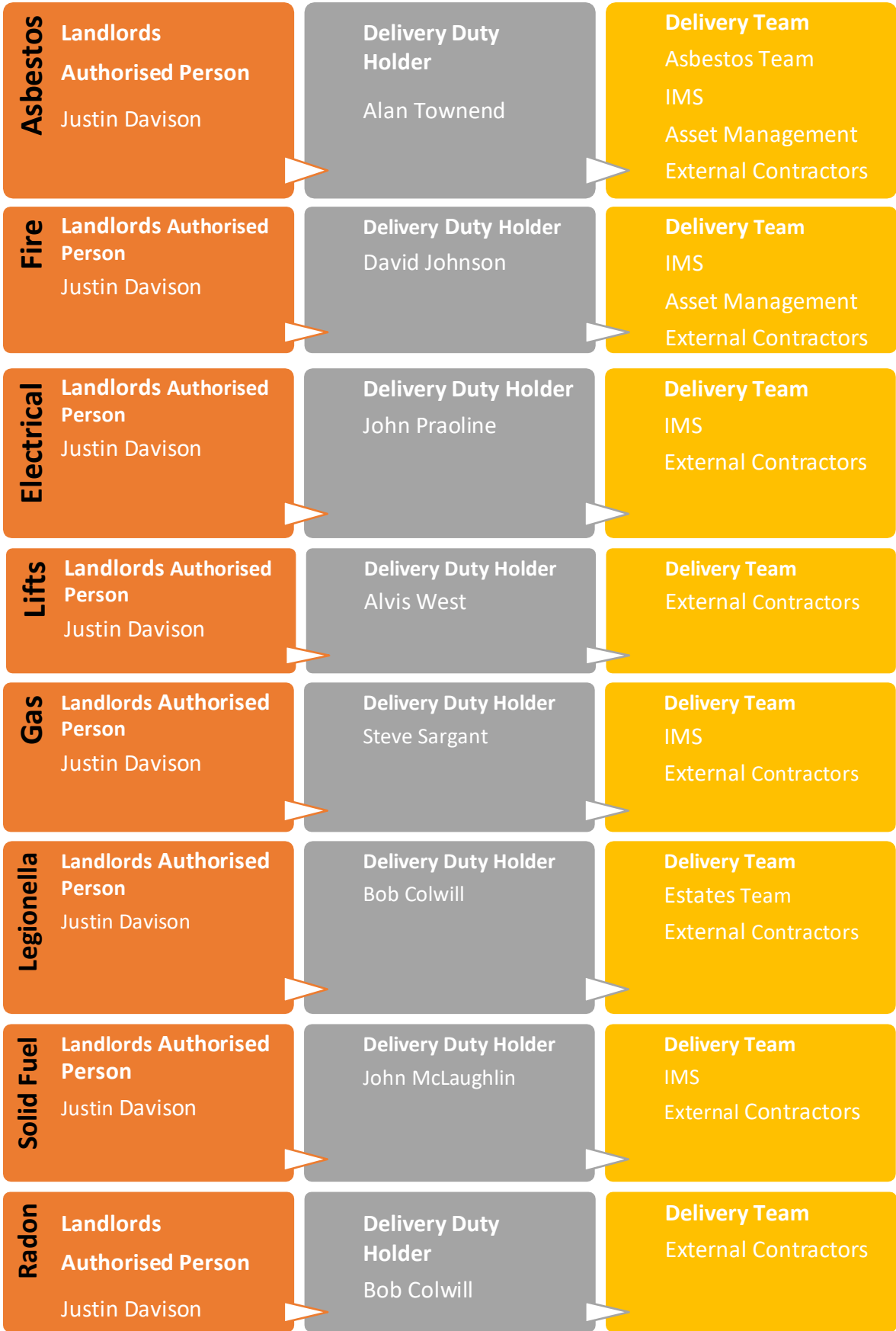
Each compliance service has an up-to-date procedure or plan in place.

Appendix 1

Landlords Authorised Person and key roles

Compliance Area	Landlords Authorised Person	Name	Appointed
Asbestos	Head of M&E and compliance	Justin Davison	01/08/2020
Radon	Head of M&E and compliance	Justin Davison	01/08/2020
Fire Safety	Head of M&E and compliance	Justin Davison	01/08/2020
Electrical Safety	Head of M&E and compliance	Justin Davison	01/08/2020
Lift Safety	Head of M&E and compliance	Justin Davison	01/08/2020
Gas Safety	Head of M&E and compliance	Justin Davison	01/08/2020
Legionella	Head of M&E and compliance	Justin Davison	01/08/2020
Solid Fuel	Head of M&E and compliance	Justin Davison	01/08/2020

Compliance Area	Delivery Duty Holder	Name	Appointed
Compliance Assurance	Operations Manager	Steve Nelson	01/08/2020
Asbestos	Asbestos Manager	Alan Townsend	01/08/2020
Radon	Contract Manager	Bob Colwill	04/12/2023
Fire Safety	Fire Safety Manager	David Johnson	01/08/2020
Electrical Safety	Technical & Quality Advisor - Retrofit	John Praoline	01/03/2024
Lift Safety	Contract Manager	Alvis West	01/08/2020
Gas Safety	Operations Manager	Steve Sargent	01/03/2024
Legionella	Contract Manager	Bob Colwill	04/12/2023
Solid Fuel	Operations Manager	John McLaughlin	22/03/2023



Defined Responsibilities

The Landlords Authorised person is the person who is responsible for:

- Ensuring that we have a robust standard
- Agreeing and maintaining the management plan, procedures and processes
- Ensuring that an appropriate budget is agreed to deliver full compliance with the standard
- Recommending performance targets to the Executive Director of Operations and Executive Team
- Developing a programme of works to deliver the standard
- Monitoring and ensuring effective delivery of the standard
- Acting as Data Manager and ensuring that the data held by LiveWest is robust and up to date.

Delivery Duty Holder

The Landlords' Authorised Person for each aspect of compliance may appoint one or more delivery duty holders.

The delivery duty holder is the person who has responsibility for:

- Drafting operational procedures and processes
- Maintaining all accreditation, certification and registration
- Delivering compliance to the agreed standard, programme and targets
- Agreeing servicing schedules and programming
- Providing technical support and advice to the Landlord Authorised Person
- Procurement / contractor selection (where required)
- Purchase/works orders and contractor management (where required)
- Ensuring work undertaken by delivery teams /external contractors meets the agreed standards
- Material Specifications
- Undertaking Incident Reviews

Compliance Team

The compliance team support LiveWest to deliver our compliance safety duties by:

- Providing audit, reporting and assurance activities
- Maintaining an up to date library of operational procedures and processes
- Maintaining all records for accreditation, certification and registration
- Monitoring and reporting on delivery against our programme
- Monitoring compliance with agreed operational procedures and processes
- Supporting the delivery team in communication with customers
- Co-ordinating legal actions to enforce compliance where required

Delivery Team

The delivery team are responsible for:

- Ensuring employees undertaking works have the appropriate certification and training
- Compliance with operational procedures including compliance with
- Delivering services in line with the agreed standards, programme and targets
- Customer communication / liaison
- Updating systems
- Procurement / contractor selection (where required)
- Purchase/works orders and contractor management (where required)
- Undertaking the agreed quality checks – 5-10% ops

VERSION CONTROL:

Version Ref:	Date of change	By whom	Reason
1.0	January 2019	LiveWest Executive Team & Customer Services Committee	Original
2.0	January 2022 February 2022	LiveWest Executive Team & Customer Services Committee Board	Updated Compliance framework flowchart and Defined Responsibilities Updated legal responsibilities Additional: Customer responsibilities, Equality Impact Assessment, reference to strategies and Corporate Plan
3.0	March 2023	LiveWest Executive Team & Customer Services Committee Board	Annual review
3.1	February 2024	Operations Manager – Property Compliance Data	Next review updated to March 2026 Updates to duty holders for Legionella and Radon Safety.
3.2	March 2024	Operations Manager – Property Compliance Data	Updated responsible duty holders for Gas and Electrical works